

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents Washington, D.C. 20231

## **RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts mailed June 4, 2001 Applicants submit an executed declaration and a sequence listing statement pursuant to 37 CFR 1.821. A Copy of this Notice is also submitted herewith.

Respectfully submitted,

David B. Schram, Esq. Reg. No. 43,096 Attorney for Applicant (908) 298-2194

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231 ON July 18, 2001

(DATE OF DEPOSIT)

David B. Schram
REGISTERED REPRESED TATIV

(SIGNATURE)

Date)



## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231

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CATION NUMBER

2000 GALLOPING HILL ROAD

KENILWORTH, NJ 07033-0530

SCHERING-PLOUGH CORPORATION

PATENT DEPARTMENT (K-6-1, 1990)

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

9/828.034

04/06/2001

Weidong Zhong

IN01165

**CONFIRMATION NO. 6424** 

**FORMALITIES LETTER** 

\*OC000000006145164\*

Date Mailed: 06/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/25/2001 SMINASS1 00000070 190365

FILED UNDER 37 CFR 1.53(b)

01 FC:105

130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821 (g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

■ For Rules Interpretation, call (703) 308-4216

■ To Purchase Patentin Software, call (703) 306-2600

■ For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov r patin3help@uspto.gov

PATENT DEPARTMENT RECEIVED

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A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

HA

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Sector//#

PTO/SB/21 (6-98)

se type a plus sign (+) inside this box + Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	Application Number	09/828,034	
TRANSMITTAL	Filing Date	April 6, 2001	
FORM	First Named Inventor	Weidong Zhong, et al.	
(to be used for all correspondence after initial filing)	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission 9	Attorney Docket Numbe	IN01165	
ENCLO	SURES (check all that app	oly)	
Fee Transmittal Form  (for an Information Disclosure Statement)  (for an Information Porm)  (for an Information Form)  (for an Information Formation For	ing-related Papers In Routing Slip (PTO/SB/69) In Routing Slip (PTO/SB/69) In to Convert to a In a consultation In to Convert to a In a consultation In a co	After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Additional Enclosure(s) (please identify below)  Sequence Listing Statement Declaration (3 pgs.) Copy of Notice to File	
Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name David B. Schram  Signature Umil Idman			
Date July 18, 2001			
CERTIFICATE OF MAILING			

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: July 18, 2001

Typed or printed name David B. Schram,

Signature

Date July 18, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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PFE	F TRANSMITTAL for FY 2001
5 3 500U	for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

**(\$)** 130.00

Complete if Known		
Application Number	09/828,034	
Filing Date	April 6, 2001	
First Named Inventor	Inventor Weidong Zhong, et al.	
Examiner Name		
Group Art Unit		
Attorney Docket No.	INO1165	

METHOD OF PAYMENT	FEE CALCULATION (continued)		
1. X The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES		
indicated fees and credit any overpayments to:  Deposit	Large Small		
Account 10 0365	Entity Entity		
Number	Code (\$) Code (\$)	Fee Paid	
Account Name Schering-Plough Corporation	105 130 205 65 Surcharge - late filing fee or oath	30.00	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet		
Applicant claims small entity status.	139 130 139 130 Non-English specification		
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination		
2. Payment Enclosed:  Check Credit card Money Other	112 920° 112 920° Requesting publication of SIR prior to Examiner action		
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action		
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month		
Large Entity Small Entity	116 390 216 195 Extension for reply within second month		
Fee Fee Fee Fee Description	117 890 217 445 Extension for reply within third month		
Code (\$) Code (\$) Fee Paid  101 710 201 355 Utility filing fee	118 1,390 218 695 Extension for reply within fourth month		
	128 1,890 228 945 Extension for reply within fifth month		
106 320 206 160 Design filing fee	119 310 219 155 Notice of Appeal		
108 710 208 355 Reissue filing fee	120 310 220 155 Filling a brief in support of an appeal	] [	
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing		
114 130 214 73 Flovisional limity lee	138 1,510 138 1,510 Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 0	140 110 240 55 Petition to revive - unavoidable		
2. EXTRA CLAIM FEES	141 1,240 241 620 Petition to revive - unintentional		
Fee from  Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)		
Total Claims -20** = X =	143 440 243 220 Design issue fee		
Independent - 3** = X =	144 600 244 300 Plant issue fee		
Claims Multiple Dependent	122 130 122 130 Petitions to the Commissioner		
	123 50 123 50 Processing fee under 37 CFR 1.17(g)		
Large Entity Small Entity	126 180 126 180 Submission of Information Disclosure Stmt		
Fee Fee Fee Fee Description Code (\$) Code (\$)			
103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)		
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))		
104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 ** Reissue independent claims	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))		
over original patent			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	179 710 279 355 Request for Continued Examination (RCE)		
and over original patent	169 900 169 900 Request for expedited examination of a design application		
SUBTOTAL (2) (\$) 0	Other fee (specify)		
	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.	00	
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.00			
SUBMITTED BY Complete (if applicable)			
Name (PrintTyne) Registration No. 12 000 Telephone 000 200 2104			

SUBMITTED BY			Complete (ii	f applicable)
Name (Print/Type)	Dayid B. Schram	Registration No. (Attorney/Agent) 43,096	Telephone	908-298-2194
Signature	Deil Schan		Date	July 18, 2001

CERTIFICATE OF MAILING				
I hereby certify that envelope addresse	this corresponde d to: Assistant Co	ence is being deposited with the ommissioner for Patents, Wash	e United States Polington, D.C. 2023	ostal Service as first class mail in an it on this date: July 18, 2001
Typed or printed n	ame David	Schram		
Signature	War	1 sdr-	Date	July 18, 2001